



PATENT APPLICATION  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICATION OF )  
HORST BERNETH ET AL ) GROUP NO.: 1625  
SERIAL NUMBER: 09/960,625 )  
FILED: SEPTEMBER 20, 2001 )  
TITLE: OPTICAL DATA MEDIUM CONTAINING, )  
IN THE INFORMATION LAYER, A )  
PHTHALOCYANINE DYE AS A LIGHT- )  
ABSORBING COMPOUND )

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Sir:

This disclosure statement under 37 CFR 1.97 & 1.98 is submitted before the first Office Action in order that the Patent and Trademark Office may consider the relevancy of certain information to the invention described and claimed in the subject application, and in compliance with the regulations concerning information disclosure statements. Enclosed are copies of the following:

- a) the documents and any corresponding English language equivalents, listed on the attached Form PTO 1449,
- b) the Search Report received in the corresponding application pending in the International Patent Office; and

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 4/29/2002  
Date

Richard E.L. Henderson, Reg. No. 31,619

Name of applicant, assignee or  
Registered Representative

*Richard E.L. Henderson*

Signature

April 29, 2002

Date

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- c) the documents cited by the International Patent Office in the Search Report (except for document being submitted) listed on the attached Form PTO-1449.

This Information Disclosure Statement should not be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, that any reference mentioned herein constitutes prior art, or that the references listed, severally or in any combination with one another or with any other information, are believed to render any claim in the subject application prima facie unpatentable.

Respectfully submitted,

By Richard E.L. Henderson  
Richard E.L. Henderson  
Attorney for Applicants  
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